

THE PROBLEM OF ACCELERATING THE ITERATION PROCESS IN SOLUTION OF THE DIRAC EQUATION

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Abstract

This paper proposes an accelerated algorithm for solving the Dirac equation. The advantage of this method is that, thanks to the successful organization of the iterative process, a significant part of the found approximate solution is expressed through an elementary function.

Keywords: Dirac equations, eigenvalues, eigenfunctions, Dirac systems, successive approximation method.

Introduction

Consider the Dirac equation

$$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} Y' + \begin{pmatrix} p(x) & q(x) \\ q(x) & -p(x) \end{pmatrix} Y = \lambda Y \quad Y = \begin{pmatrix} y_1(x) \\ y_2(x) \end{pmatrix} \quad (1)$$

with initial conditions

$$y_1(0) = \cos\alpha, \quad y_2(0) = -\sin\alpha, \quad (2)$$

here $p(x)$, $q(x)$ are continuous functions in an arbitrary finite interval, λ is a complex number. Problems (1)-(2) have been studied quite well and a review on this topic can be found in [1].

This paper proposes an accelerated algorithm for solving problem (1)-(2), which, thanks to a successful replacement of variables, the iterative process quickly converges to a solution, described by an elementary function, with a given accuracy. This approach to solving similar problems was practiced in the author's works [2]-[7].

The relevance of this problem formulation lies in the fact that in many problems, mainly for inverse problems for equation (1) with some boundary data under conditions of uncertainty (i.e., insufficiently or inaccurately specified spectral data), such explicit forms are required, at least approximately decision that makes it possible to evaluate the result.

The meaning of the proposed method and the results of the solution are described in the following theorem.



Let

$$\psi(x, \lambda) = \int_0^x (p(t)\sin 2\lambda t - q(t)\cos 2\lambda t) dt,$$

$$\varphi(x, \lambda) = \int_0^x p(t)\cos 2\lambda t + q(t)\sin 2\lambda t dt, \quad H = H(\lambda x) = \begin{pmatrix} \cos \lambda x & -\sin \lambda x \\ \sin \lambda x & \cos \lambda x \end{pmatrix}$$

$$\theta(x) = \int_0^x \varphi' sh \varphi dt, \quad \vartheta(x) = - \int_0^x \psi' e^{-\psi} dt$$

Theorem. The solution to the Dirac matrix equation (1) with initial conditions (2) is described by the following formula

$$Y(x, \lambda, \alpha) = \begin{pmatrix} \cos \lambda x & -\sin \lambda x \\ \sin \lambda x & \cos \lambda x \end{pmatrix} \begin{pmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{pmatrix} \begin{pmatrix} \cos \alpha \\ -\sin \alpha \end{pmatrix} \tag{3}$$

where

$$G_{11} = 1 + \theta(x) + \vartheta(x) - \int_0^x \psi' \theta dt + \int_0^x \psi' \int_0^{t_1} \theta \psi' d\tau_1 dt +$$

$$+ \int_0^x \varphi' \int_0^{t_1} [\varphi' \vartheta(x) + \psi' sh \varphi] d\tau_1 dt - \dots - \sum_{k=3}^{\infty} \int_0^x f_0 \int_0^{t_1} f_1 \int_0^{t_2} f_2 \dots \int_0^{t_k} \theta \psi' d\tau_k \dots d\tau_1 dt + \dots$$

$$+ \sum_{k=3}^{\infty} \int_0^x f_0 \int_0^{t_1} f_1 \int_0^{t_2} f_2 \dots \int_0^{t_k} [\varphi' \vartheta(x) + \psi' sh \varphi] d\tau_k \dots d\tau_2 d\tau_1 dt$$

$$G_{12} = -sh \varphi - \int_0^x [\varphi' \vartheta(x) - \psi' sh \varphi] dt - \int_0^x \varphi' \int_0^{t_1} \theta \psi' d\tau_1 dt +$$

$$+ \int_0^x \psi' \int_0^{t_1} [\varphi' \vartheta(x) - \psi' sh \varphi] d\tau_1 dt - \dots - \sum_{k=3}^{\infty} (-1)^k \left(\int_0^x f_0 \int_0^{t_1} f_1 \int_0^{t_2} f_2 \dots \int_0^{t_k} \theta \psi' d\tau_k \dots d\tau_1 dt \right.$$

$$\left. + \dots \right)$$

$$+ \sum_{k=3}^{\infty} (-1)^k \int_0^x f_0 \int_0^{t_1} f_1 \int_0^{t_2} f_2 \dots \int_0^{t_k} [\varphi' \vartheta(x) + \psi' sh \varphi] d\tau_k \dots d\tau_2 d\tau_1 dt$$

$$G_{21} = -sh \varphi - \int_0^x [\varphi' \vartheta(x) + \psi' sh \varphi] dt + \int_0^x \varphi' \int_0^{t_1} \theta \psi' d\tau_1 dt -$$

$$- \int_0^x \psi' \int_0^{t_1} [\varphi' \vartheta(x) + \psi' sh \varphi] d\tau dt - \dots +$$

$$- \sum_{k=3}^{\infty} (-1)^k \left(\int_0^x f_0 \int_0^{t_1} f_1 \int_0^{t_2} f_2 \dots \int_0^{t_k} \theta \psi' d\tau_k \dots d\tau_1 dt + \dots + \right)$$



$$\begin{aligned}
 & + \sum_{k=3}^{\infty} (-1)^k \int_0^x f_0 \int_0^{t_1} f_1 \int_0^{t_2} f_2 \cdots \int_0^{t_k} [\varphi'(e^{-\psi} - 1) + \psi' sh\varphi] d\tau_k \cdots d\tau_2 d\tau_1 dt \\
 G_{22} = & e^{\psi} + \theta(x) + \int_0^x \theta\psi' dt + \int_0^x \psi' \int_0^{t_1} \theta\psi' d\tau_1 dt + \\
 & + \int_0^x \varphi' \int_0^{t_1} [\varphi'\vartheta(x) - \psi' sh\varphi] d\tau_1 dt + \cdots + \\
 & + \sum_{k=3}^{\infty} \int_0^x f_0 \int_0^{t_1} f_1 \int_0^{t_2} f_2 \cdots \int_0^{t_k} \theta\psi' d\tau_k \cdots d\tau_1 dt_1 + \cdots + \\
 & - \sum_{k=3}^{\infty} \int_0^x f_0 \int_0^{t_1} f_1 \int_0^{t_2} f_2 \cdots \int_0^{t_k} [\varphi'\vartheta(x) + \psi' sh\varphi] d\tau_k \cdots d\tau_2 d\tau_1 dt,
 \end{aligned}$$

here $f_k \in \{\psi, \varphi\}$. The infinite sums involved in F_{ij} , are absolutely convergent and are equivalent in modulus to $O\left(\frac{M^k}{k!}\right)$, where $M = \max_{0 < x < \infty} (e^{\psi}, e^{-\psi}, e^{\varphi})$. Formula (3) is valid for both small and large values of $\lambda \neq 0$.

Proof. Let us introduce the following notation

$$Y(x, \lambda, \alpha) = \begin{pmatrix} \cos\lambda x & -\sin\lambda x \\ \sin\lambda x & \cos\lambda x \end{pmatrix} U(x, \lambda, \alpha)$$

Then problem (1)-(2) is reduced to solving the following matrix differential equation

$$U' + \begin{pmatrix} p(t)\sin 2\lambda t - q(t)\cos 2\lambda t & p(t)\cos 2\lambda t + q(t)\sin 2\lambda t \\ p(t)\cos 2\lambda t + q(t)\sin 2\lambda t & p(t)\sin 2\lambda t - q(t)\cos 2\lambda t \end{pmatrix} U = 0$$

or

$$U' + \begin{pmatrix} \psi' & \varphi' \\ \varphi' & -\psi' \end{pmatrix} U = 0 \quad U = \begin{pmatrix} u_1(x) \\ u_2(x) \end{pmatrix} \tag{3}$$

with initial conditions

$$u_1(0) = \cos\alpha, \quad u_2(0) = -\sin\alpha \tag{4}$$

The representation of ψ, φ is described above.

The integral form of problem (3), (4) is described by the Volterra equation of the 2nd kind

$$U(x, \lambda, \alpha) = \begin{pmatrix} \cos\alpha \\ -\sin\alpha \end{pmatrix} - \int_0^x \begin{pmatrix} \psi' & \varphi' \\ \varphi' & -\psi' \end{pmatrix} U(t, \lambda, \alpha) dt \tag{5}$$

Problems (3)-(4) or equation (5), provided that the elements of the matrix ψ', φ' are uniformly continuous in the finite interval under consideration, have a solution and a unique solution [2]. Let's start solving this integral equation. Equation (5) can be solved using the successive approximation method. As a zero approximation we choose the following

$$\tilde{U}_0 = \begin{pmatrix} \cos\alpha \\ -\sin\alpha \end{pmatrix}$$

Inserting \tilde{U}_0 together with U in the subintegral (5), we obtain

$$\tilde{U}_1 = \begin{pmatrix} \cos\alpha \\ -\sin\alpha \end{pmatrix} - \int_0^x \begin{pmatrix} \psi' & \varphi' \\ \varphi' & -\psi' \end{pmatrix} \begin{pmatrix} \cos\alpha \\ -\sin\alpha \end{pmatrix} dt = \left[I - \begin{pmatrix} \psi & \varphi \\ \varphi & -\psi \end{pmatrix} \right] \begin{pmatrix} \cos\alpha \\ -\sin\alpha \end{pmatrix}$$



The same thing, for \tilde{U}_2 we get

$$\begin{aligned} \tilde{U}_2 &= \begin{pmatrix} \cos\alpha \\ -\sin\alpha \end{pmatrix} - \int_0^x \begin{pmatrix} \psi' & \varphi' \\ \varphi' & -\psi' \end{pmatrix} \tilde{U}_1 dt = \\ &= \left[\begin{pmatrix} \cos\alpha \\ -\sin\alpha \end{pmatrix} - \int_0^x \begin{pmatrix} \psi' & \varphi' \\ \varphi' & -\psi' \end{pmatrix} \left[I - \begin{pmatrix} \psi & \varphi \\ \varphi & -\psi \end{pmatrix} \right] \begin{pmatrix} \cos\alpha \\ -\sin\alpha \end{pmatrix} dt \right] = \\ &= \left[I - \begin{pmatrix} \psi & \varphi \\ \varphi & -\psi \end{pmatrix} + \int_0^x \begin{pmatrix} \psi' & \varphi' \\ \varphi' & -\psi' \end{pmatrix} \begin{pmatrix} \psi & \varphi \\ \varphi & -\psi \end{pmatrix} dt \right] \begin{pmatrix} \cos\alpha \\ -\sin\alpha \end{pmatrix} = \\ &= \left[I - \begin{pmatrix} \psi & \varphi \\ \varphi & -\psi \end{pmatrix} + \frac{\psi^2 + \varphi^2}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \int_0^x (\psi' \varphi - \varphi' \psi) dt \right] \begin{pmatrix} \cos\alpha \\ -\sin\alpha \end{pmatrix} \end{aligned}$$

Continuing in a similar way, we get

$$\tilde{U}_n = [I - A_1 + A_2 - A_3 + \dots + (-1)^n A_n] \begin{pmatrix} \cos\alpha \\ -\sin\alpha \end{pmatrix} \tag{6}$$

where A_n is determined by the following recurrence relations

$$\begin{aligned} A_{n+1} &= \begin{pmatrix} a_{n+1} & (-1)^n b_{n+1} \\ b_{n+1} & -(-1)^n a_{n+1} \end{pmatrix} = \int_0^x \begin{pmatrix} \psi' & \varphi' \\ \varphi' & -\psi' \end{pmatrix} A_n(t) dt = \\ &= \int_0^x \begin{pmatrix} \psi' a_n + \varphi' b_n & (-1)^n (\varphi' a_n - \psi' b_n) \\ \varphi' a_n - \psi' b_n & -(-1)^n (\psi' a_n + \varphi' b_n) \end{pmatrix} dt, \\ a_{n+1} &= \int_0^x [\psi' a_n + \varphi' b_n] dt, \quad b_{n+1} = \int_0^x [\varphi' b_n - \psi' a_n] dt \\ a_0 &= 1, \quad b_0 = 0, \quad n = 0, 1, 2, \dots \end{aligned}$$

For convenience, we group the sequence of the matrix A_n with even and odd indices. Then for $n > 1$ we have

$$\begin{aligned} A_{2(n+1)} &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \left[\frac{\psi^{2(n+1)} + \varphi^{2(n+1)}}{2(n+1)!} + \right. \\ &+ \int_0^x \psi' \int_0^{t_1} \left(\psi' \frac{\varphi^{2n}}{2n!} + \varphi' \int_0^{t_2} \left(\varphi' \frac{\psi^{2n-1}}{(2n-1)!} - \psi' \frac{\varphi^{2n-1}}{(2n-1)!} \right) dt_2 \right) dt_1 dt + \dots \\ &+ \left. \int_0^x \dots \psi' \int_0^{t_{2n-1}} \left(\psi' \frac{\varphi^2}{2} + \varphi' W(\varphi, \psi) \right) dt_{2n-1} \dots dt \right] \\ &- \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \left[\int_0^x \left(\varphi' \frac{\psi^{2n+1}}{(2n+1)!} - \psi' \frac{\varphi^{2n+1}}{(2n+1)!} \right) dt - \right. \\ &- \left. \int_0^x \dots \psi' \int_0^{t_{2n-1}} \left(\varphi' \frac{\psi^2}{2} - \psi' W(\varphi, \psi) \right) dt_{2n-1} \dots dt_1 dt \right] \end{aligned}$$





$$\begin{aligned}
 A_{2n+1} = & \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \left[\frac{\psi^{2n+1}}{(2n+1)!} + \int_0^x \psi' \frac{\varphi^{2n}}{2n!} d\tau_1 + \right. \\
 & + \int_0^x \psi' \int_0^{t_1} \psi' \int_0^{t_2} \left(\psi' \frac{\varphi^{2n}}{2n!} + \varphi' W(\varphi, \psi) \right) d\tau_2 d\tau_1 dt + \\
 & + \int_0^x \psi' \int_0^{t_1} \varphi' \int_0^{t_2} \left(\varphi' \frac{\psi^{2n}}{2n!} - \psi' W(\varphi, \psi) \right) d\tau_2 d\tau_1 dt + \\
 & \left. - \int_0^x \varphi' \int_0^{t_1} \psi' \int_0^{t_2} \left(\varphi' \frac{\psi^{2n}}{2n!} - \psi' W(\varphi, \psi) \right) d\tau_2 d\tau_1 dt \right] + \\
 & + \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \left[\frac{\psi^{2n+1}}{(2n+1)!} + \int_0^x \varphi' \frac{\psi^{2n}}{2n!} dt - \right. \\
 & + \int_0^x \varphi' \int_0^{t_1} \varphi' \int_0^{t_2} \left(\varphi' \frac{\psi^{2n}}{2n!} - \psi' W(\varphi, \psi) \right) d\tau_2 d\tau_1 dt \left. \right] + \dots \\
 & - \int_0^x \psi' \int_0^{t_1} \left(\varphi' \int_0^{t_2} \left(\varphi' \frac{\psi^{2n}}{2n!} - \psi' W(\varphi, \psi) \right) d\tau_2 \right) d\tau_1 dt \left. \right]
 \end{aligned}$$

All elements of the matrix A_n are convergent sequences, which, as n increases, tend to zero in order $O\left(\frac{M^n}{n!}\right)$. We insert all found matrices A_n into (6). For convenience, we introduce the following notation

$$I - A_1 + A_2 - \dots + (-1)^n A_n + \dots = \begin{pmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{pmatrix} \tag{6'}$$

Since the infinite series involved in the right side of equality (6') is convergent [9], as $n \rightarrow \infty$, we have

$$\begin{aligned}
 G_{11} = & \sum_{i=0}^{\infty} a_{2i} - \sum_{i=0}^{\infty} a_{2i+1} = 1 - \psi + \sum_{i=1}^{\infty} \frac{\psi^{2i} + \varphi^{2i}}{(2i)!} - \\
 & - \sum_{i=2}^{\infty} \left\{ \frac{\psi^{2i-1}}{(2i-1)!} + \int_0^x \frac{\psi' \varphi^{2(i-1)}}{(2i-2)!} dt + \dots \right\} = e^{-\psi} + \theta(x) + \int_0^x \psi' \theta(x) dt + \dots \\
 G_{12} = & \sum_{i=0}^{\infty} b_{2i} - \sum_{i=0}^{\infty} b_{2i+1} = \sum_{i=1}^{\infty} \left\{ \frac{1}{(2i-1)!} \int_0^x \{ \psi' \varphi^{2i-1} - \varphi' \psi^{2i-1} \} dt + \dots \right\} - \\
 & - \sum_{i=1}^{\infty} \frac{\varphi^{2i-1}}{(2i-1)!} - \sum_{i=2}^{\infty} \int_0^x \frac{\varphi' (\psi^{2(i-1)})}{(2i-2)!} dt + \dots = -sh\varphi + \int_0^x [\psi' sh(\varphi) - \varphi' \vartheta(x)] dt + \dots \\
 G_{21} = & \sum_{i=0}^{\infty} b_{2i} - \sum_{i=0}^{\infty} b_{2i+1} = \sum_{i=1}^{\infty} \left\{ \frac{1}{(2i-1)!} \int_0^x (\varphi' \psi^{2i-1} - \psi' \varphi^{2i-1}) dt + \dots \right\} -
 \end{aligned}$$

$$\begin{aligned}
& - \sum_{i=1}^{\infty} \frac{\varphi^{2i-1}}{(2i-1)!} - \sum_{n=2}^{\infty} \int_0^x \frac{[\varphi'(\psi^{2(n-1)})] dt}{(2n-2)!} + \dots = -sh\varphi - \int_0^x [\varphi'\vartheta(x) + \psi'sh\varphi] dt + \dots, \\
G_{22} &= \sum_{i=0}^{\infty} a_{2i} - \sum_{i=0}^{\infty} a_{2i+1} = 1 + \psi + \sum_{i=1}^{\infty} \left\{ \frac{\psi^{2i} + \varphi^{2i}}{(2i)!} + \dots \right\} + \\
& + \sum_{i=2}^{\infty} \left\{ \frac{\psi^{2i-1}}{(2i-1)!} + \int_0^x \frac{[\psi'\varphi^{2(i-1)}] dt}{(2n-2)!} + \dots \right\} = e^{\psi} + \theta(x) + \int_0^x \psi'\theta(x) dt \dots
\end{aligned}$$

The theorem is proved.

By simplifying (3) for sufficiently large λ , one can obtain all the classical formulas for problem (1)-(2), such as asymptotic formulas for eigenvalues λ , asymptotic formulas for eigenfunctions, asymptotic formulas for normalizing numbers, etc. [1].

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